Effect of Varying the Supercoiling of DNA on Transcription and Its Regulation

Heon M. Lim, \$\frac{1}{2}\$, \$\frac{1}{2}\$ Dale E. A. Lewis, \$\frac{1}{2}\$ Hee Jung Lee, \$\frac{1}{2}\$, \$\frac{1}{2}\$ Mofang Liu, \$\frac{1}{2}\$ and Sankar Adhya*, \$\frac{1}{2}\$

Laboratory of Molecular Biology, National Cancer Institute, National Institutes of Health, Bethesda, Maryland 20892, and Department of Biology, College of Natural Sciences, Chungnam National University, Taejon 305-764, Republic of Korea

Received May 2, 2003; Revised Manuscript Received July 14, 2003

ABSTRACT: The effect of superhelicity of DNA templates on transcription is well documented in several cases. However, the amount of supercoiling that is needed to bring about any changes and the steps at which such effects are exerted were not systematically studied. We investigated the effect of DNA supercoiling on transcription from a set of promoters present on a plasmid by using a series of topoisomers with different superhelical densities ranging from totally relaxed to more than physiological. In vitro transcription assays with these topoisomers in the absence and presence of gene regulatory proteins showed that the effect of negative supercoiling on intrinsic transcription varies from promoter to promoter. Some of those promoters, in which DNA superhelicity stimulated transcription, displayed specific optima of superhelical density while others did not. The results also showed that the amounts of abortive RNA synthesis from two of the promoters decreased and full-length RNA increased with increasing supercoiling, indicating for the first time an inverse relationship between full-length and abortive RNA synthesis and supporting a role of DNA superhelicity in promoter clearance. DNA supercoiling might also influence the point of RNA chain termination. Furthermore, the effect of varying the amount of supercoiling on the action of gene regulatory proteins suggested the mode of action, which is consistent with previous results. Our results underscore the importance of DNA supercoiling in fine-tuning promoter activities, which should be relevant in cell physiology given that local changes in chromosomal supercoiling must occur in different environments.

Transcription of many genes, like other DNA transactions, is affected by DNA supercoiling (1-3). Negative supercoiling of the DNA template is usually more efficient for transcription (4-9). At the macroscopic level, gene transcription has several steps: RNA polymerase occupancy at the promoter, isomerization, promoter clearance, elongation, and termination as well as regulation of these processes by DNA binding regulatory proteins. Intrinsic and guided interactions between segments of DNA, domains of RNA polymerase, and regulatory proteins must take place during various steps of transcription. The following observations previously suggested that negative DNA supercoiling affects one or more of these steps in the promoters studied. (i) RNA polymerase and regulatory proteins may prefer binding to supercoiled DNA (10-12). (ii) DNA is underwound in open and elongating complexes (13-16), and underwinding is favored by negative supercoiling (17, 18). Supercoiling indeed enhances isomerization in the bacteriophage $\lambda P_{\rm L}$ and lacP^s promoters (19, 20). Interestingly, supercoiling inhibits isomerization in the $lacP_{uv5}$ promoter (11). (iii) The step of promoter clearance may also be subject to the influence of DNA supercoiling (21, 22). (iv) A pause in transcription elongation was shown to be enhanced by DNA supercoiling (23). (v) Supercoiloing affects transcription termination (24). These results and the twin-domain supercoiling of a transcribing DNA segment, accumulation of positive superhelicity ahead of RNA polymerase and negative superhelicity behind it (3, 25, 26), strongly implicate DNA in playing an active rather than a passive role in gene transcription. As expected, the level of transcription of many genes is dependent upon the level of DNA gyrase and DNA topoisomerse I in vivo (21, 22, 27-31). In fact, the activity of DNA gyrase helps some promoters while inhibiting others (22, 32). Promoters were found that are insensitive to DNA supercoiling (22, 31). However, the amounts of supercoiling were not varied when studying the effect of supercoiling on the steps of transcription in different promoters in vitro. Besides, the effects on different promoters could not be compared quantitatively because of different experimental setups. We initiated a detailed investigation of the effect of varying the amount of negative supercoiling of DNA on intrinsic and regulated in vitro transcription in a set of Escherichia coli promoters present in the same plasmid and compared their response quantitatively. We generated a series of topoisomers of the plasmid that harbors these promoters and studied the effect of DNA topology on in vitro transcription from these promoters in the absence and presence of their cognate regulatory proteins. In this first communication, we report both the qualitative and quantitative effects of different amounts of negative supercoiling on the overall RNA synthesis, abortive transcription (when relevant), and the effects of any regulatory protein on these promoters. The results clearly showed that the effect of DNA

^{*} To whom correspondence should be addressed at the National Institutes of Health, 37 Convent Drive, Room 5138, Bethesda, MD 20892-4264. Tel: (301) 496-2495. Fax: (301) 480-7687. E-mail: sadhya@helix.nih.gov.

[‡] National Institutes of Health.

[§] Chungnam National University.

[&]quot;Employees of the National Institutes of Health at the time this work was completed.

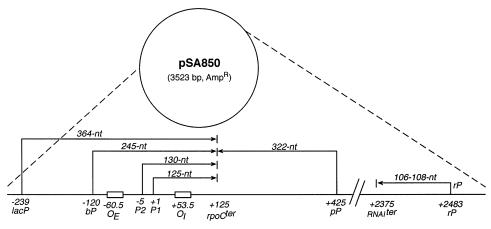


FIGURE 1: Orientation and location of the various promoters and terminators, discussed in the text, in the plasmid pSA850. The locations refer to numbers denoting the start sites of transcription of the corresponding promoters with respect to the start site of galP1 (designated +1). The promoter symbols are in the text, except that P1 and P2 refer to galP1 and galP2, respectively, and O_E and O_I to the two GalR binding sites. The map is not drawn to scale.

supercoiling on transcription and regulator action depended on the nature of the promoter and the activity of the regulator. The optimal superhelical density required for intrinsic transcription and regulator action varied from promoter to promoter. We discuss the target step(s) of DNA supercoiling in affecting transcription at these promoters.

EXPERIMENTAL PROCEDURES

Plasmids and Proteins. The plasmid pSA850 contains several promoters followed by a transcription terminator to produce transcripts of discrete sizes (Figure 1). They are the promoter of the *lac* operon (*lacP*), the two overlapping promoters of the *gal* operon (*galP1* and *galP2*), a promoter (*pP*) located at the attachment region (P'OP)¹ of bacteriophage λ , and a promoter (*bP*) located in the bacterial attachment region (B'OB), which recombines with the $\lambda P'OP$ site for integration of the phage genome into bacterial genome (*33*). In addition, pSA850 also contains the plasmid *rep* promoter (*rP*) with its own terminator (see below). Plasmid DNA were purified by CsCl density gradient ultracentrifugation and used as templates for in vitro transcription reactions.

GalR was purified from an *Escherichia coli* strain harboring a plasmid carrying the *galR* gene (pAM2) as described (34). CRP, purified to homogeneity by a final FPLC column, was a gift from Susan Garges and Thomas Soares (Laboratory of Molecular Biology, NCI, NIH). HU was purified as described in ref 35. The RNA polymerase holoenzyme of *E. coli* was purchased from Pharmacia.

Preparation of Topoisomers of pSA850. Six micrograms of pSA850 was incubated at 37 °C in a 100 μ L volume containing 24 units of calf thymus topoisomerase I (BRL) in 1× topoisomerase buffer and different amounts (0–6.4 μ g/mL) of ethidium bromide in 100 μ L of the topoisomerase buffer (BRL) at 37 °C for 2 h. After the reactions, proteins and ethidium bromide were removed by a phenol—chloroform (1:1) extraction and two successive isoamyl alcohol extractions. DNA was precipitated with ethanol. The

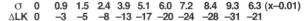
average linking number difference (Δ Lk) of the generated topoisomers was measured by using either 0.5 or 2.5 μ g/mL of chloroquine in TAE-buffered (1% or 2%) agarose gel (36, 37). The superhelical densities (σ) of the topoisomers were determined as described by Singleton and Wells (38). Using the number 10.5 bp/helical turn for B-DNA (39), the linking number (Lk) of totally relaxed pSA850 (3523 bp) was calculated to be 332.

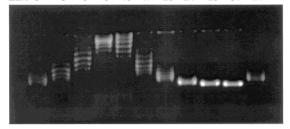
In Vitro Transcription. In vitro transcription reactions were performed at 37 °C in a 50 μ L volume. The initial mixture (45 µL) contained 2 nM plasmid DNA template, 20 nM RNAP, 20 mM Tris-acetate, pH 7.5, 10 mM magnesium acetate, 200 mM potassium glutamate, and 40 units of rRNasin (Promega). When required, GalR was present at 80 nM, HU at 80 nM, CRP at 50 nM, and cAMP at 100 μ M, respectively. The initial mixtures were incubated for 5 min at 37 °C before 5 µL of NTP (2 mM of ATP, GTP, and CTP, 0.2 mM UTP, and 5 μ Ci of [α -³²P]UTP) was added to start the reactions. They were further incubated at 37 °C for 10 min. The reactions were terminated by the addition of $50 \,\mu\text{L}$ of the STOP solution (BRL). The samples were heated to 90 °C for 2 min, and 3 µL of each sample was loaded onto 8% and 25% polyacrylamide-urea sequencing gels to monitor the full-length transcripts and aborted molecules made from the promoters, respectively. Quantification of the transcripts was performed on PhosphoImager.

RESULTS

DNA Topoisomers of Varying Negative Superhelicity. A series of topoisomers with an average superhelical density (σ) from 0 to -0.093 (linking number difference, Δ Lk, from 0 to -31) of the plasmid pSA850 containing several promoters were generated as described in Experimental Procedures. The distribution of the topoisomers made in the presence of varying ethidium bromide concentrations is shown in Figure 2. The superhelical density σ of the pSA850 population isolated from E. coli cells was -0.062 (Δ Lk = -21). Thus, the state of the topoisomers ranged from totally relaxed to more than physiologically supercoiled. In vitro transcriptions were performed using the topoisomers as DNA templates to analyze supercoiling dependency of the different promoters in pSA850 in the absence and presence of regulatory proteins. The results of RNA synthesis are shown

¹ Abbreviations: B'OB, bacterial attachment region for prophage λ ; CRP, cAMP receptor protein; αCTD, α carboxy-terminal domain; αNTD, α amino-terminal domain; Lk, linking number; P'OP, λ attachment site to bacteria.





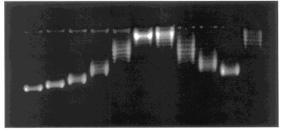


FIGURE 2: Topoisomers of the plasmid pSA850 generated as described in the text and used in the transcription assays. The topoisomers were separated by agarose gel electrophoresis in the presence of 0.5 mg/mL (upper gel) and 2.5 mg/mL (lower gel) of chloroquine. The average superhelical density (σ) , and the linking difference (ΔLk) of each topoisomer are shown.

in Figures 3, 4, and 6. The quantification of some of the results is shown in Figure 5 and in Table 1.

Effect of Supercoiling on Intrinsic Transcription. As shown in Figure 3, the plasmid generated a large number of transcripts from the six identified promoters, lacP, galP1, galP2, rP, bP, and pP (see Experimental Procedures). Since all of the promoters, except rP, shared the same terminator (rpoC terminator, which is bidirectional) in the plasmid template (33), the observed differential effects of DNA supercoiling on the five promoters were at the level of one or more steps of initiation and not at the level of termination. The case of rP is discussed separately. It was clear that the superhelical density requirement for optimal transcription initiation varies from promoter to promoter under defined conditions. For example, RNA synthesis from the pPpromoter, whose intrinsic strength was the highest among the set, was independent of the superhelical state of the template; there was no difference in the amount of RNA made whether the DNA was relaxed or supercoiled by any amounts. On the other hand, as the supercoiling increased, there was an increase in the synthesis of the amount of RNA made from the remaining four promoters. The transcription efficiency increased with the introduction of increasing amounts of negative density in the templates. Clearly, higher superhelical density decreased transcription from some of them, thus showing an optimum, which also varied from promoter to promoter. The intrinsic transcription from the paradigm *lacP* promoter increased continuously from a very low level at $\sigma = 0$ to 2.9-fold at $\sigma = -0.093$ without an optimum (Figures 4a and 5a, Table 1). The two gal promoters, galP1 and galP2, although separated by 5 bp (40), responded quite differently from each other by DNA superhelicity changes. The amount of full-length RNA made from galP1 increased gradually, but marginally, by changing the σ from 0 to -0.093, with no optimum as in *lacP* (Figures 4c and 5b, Table 1). On the other hand, transcription from galP2 peaked around the superhelical density of $\sigma = -0.062$

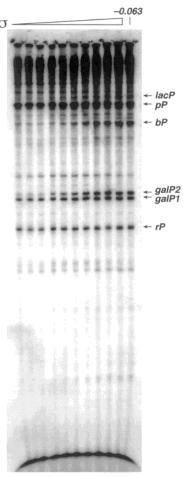


FIGURE 3: In vitro RNA synthesis as separated by gel electrophoresis from the different topoisomers of pSA850 DNA. An 8% gel, which can resolve full-length transcripts, made from the promoters in pSA850 was used. The increase of superhelical density (σ) is the same as shown in Figure 2. The origin of the identified transcripts are shown by arrows.

(Figures 4c and 5c, Table 1). The galP2 produced about 6-fold more transcripts at the optimal density than at $\sigma = 0$ (fully relaxed), while galP1 showed only a 2.25-fold increase from $\sigma = 0$ to the highest density, demonstrating that transcription from galP2 was more dependent on negative supercoiling than that from galP1. The rP promoter mostly showed a single start site in our transcription system, as determined by primer extension assays, which resolved into two RNA products differing by one nucleotide residue (UMP) at the 3' end because of variability in the exact point of termination in the Rho-independent terminator, which is cognate to rP (41; M. Liu, unpublished results). The effect of changing DNA superhelicity on RNA made from rP is discussed later. As shown in Figure 3, the dependencies of transcription on changing negative supercoiling of DNA template were also true for several other unidentified promoters present on the plasmid and were not studied further.

Effect of Changing DNA Superhelicity on the Activities of Gene Regulatory Proteins. Four of the promoters studied, lacP, galP1, galP2, and pP, are regulated; no regulators are known for the other two, rP and bP. We studied the effects of DNA supercoiling on the CRP effect in lacP and the CRP, GalR, and HU effects in the two gal promoters. In vitro transcription assays were performed with the topoisomers

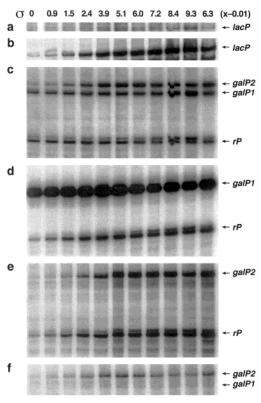


FIGURE 4: Electrophoretic separation of full-length transcripts made from *lacP* in the presence (a) or in the absence of CRP (b) and the *gal* promoters without any factors (c) or in the presence of CRP (d), GalR (e), or GalR and HU (f) at different superhelical densities of DNA as indicated. Transcripts are identified by arrows on the right (see Figure 1).

in the presence of CRP as shown in Figures 4 and 5. The regulation of pP by its regulator (IHF) was not studied.

(i) Activation of lacP. CRP, which regulates the lacP promoter by binding to a DNA site centered at the -61.5 position (42), stimulated transcription at all superhelical densities from zero to the highest. The stimulation was 5-fold at zero superhelicity and 55-fold at $\sigma = -0.093$ (Figure 5, Table 1).

(ii) Regulation of galP1 and galP2. The gal promoters are controlled by three transacting proteins, GalR, CRP, and the nucleoid protein HU (43-48). GalR binds to two operator DNA sequences, O_E (centered at -60.5) and O_I (centered at +53.5). GalR requires HU to simultaneously repress both galP1 and galP2 promoters. Besides the concurrent repression of the two promoters, GalR binding to O_E alone brings about repression of galP1 and enhancement of galP2. The control of galP1 and galP2 by CRP is the opposite of that by GalR alone. CRP binds to a DNA site at position -41.5and represses galP2 and enhances galP1. (a) Repression: CRP-mediated repression of galP2 and GalR-mediated repression of galP1 occurred regardless of the superhelical nature of the DNA template (Figure 4d,e); the amount of repression of galP1 and galP2 by the corresponding regulators occurred uniformly from zero to the highest supercoiling density. (b) Activation: Unlike the repression, the activation of galP1 by CRP and of galP2 by GalR changed with different superhelical densities (Figure 4d.e). The maximum CRP activation (12-fold) on galP1 (Figure 5b, Table 1) was achieved at the σ of -0.051 with decreased activation at higher densities. This contrasted to the finding that there was

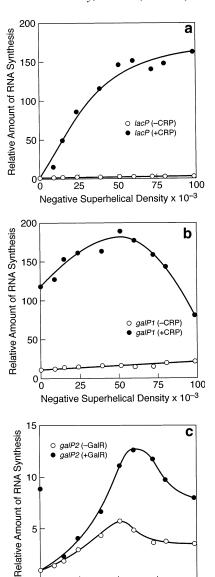


FIGURE 5: Quantification profiles of the full-length transcripts made from the *lac* promoter (a), *galP1* promoter (b), and *galP2* promoter (c). The symbol designations are shown.

50

Negative Superhelical Density x 10⁻³

75

100

25

no optimum in galP1 in the absence of CRP. The activation of galP2 by GalR at O_E seemed to be affected more by negative supercoiling than that of galP1 by CRP. Activation of galP2 by GalR (Figures 4e and 5c, Table 1) did not occur when the template was fully relaxed. The activation increased exponentially with increasing superhelical density of the template and maximized at the physiological σ of -0.062, a slight increase from the optimal density corresponding to the intrinsic transcription (-0.051). Unlike GalR in galP2, the activation of galP1 by CRP occurred even when the plasmid was fully relaxed (Figure 5b). (c) DNA looping mediated repression: In the presence of both GalR and HU, the gal promoters were repressed, as expected, concurrently (Figure 4f). The galP2 repression by GalR and HU, which occurs upon DNA loop formation by interaction between two GalR dimers bound to O_E and O_L , required supercoiled DNA template (48, 49). Therefore, it was critical to determine the extent of this dependency by using the topoisomers of varying superhelicity by comparing the galP2

Table 1: Transcription Stimulation by DNA Supercoiling^a

		rela	relative transcription		
promoter	superhelicity	-CRP	+CRP	+GalR	
lacP	0	1.0	5.0		
lacP	0.093	2.96	164.5		
galP1	0	1.0	11.8	NQ^b	
galP1	0.051	2.18	19.0	NQ	
galP2	0	1.0	NQ	1.04	
galP2	-0.060	5.74	NQ	12.67	
bP	0	1.0			
bP	-0.093	10.0			
pP	0	1.0			
pP	-0.093	1.0			

^a Relative amounts of RNA made from the *lac* and *gal* promoters in the presence of regulatory proteins with fully relaxed DNA templates or with DNA templates at the corresponding superhelicity for maximal transcription. The values are expressed relative to the corresponding amount of intrinsic transcription of a promoter with relaxed template.
^b NQ, not quantified.

transcription between that in the presence of GalR only (caused galP2 activation) and GalR and HU (repression). The DNA looping mediated repression started to become obvious at a σ of -0.015 and showed an optimum at the physiological density of -0.063.

Abortive Transcription vs Changing DNA Superhelical Density. Having seen that the amount of full-length transcripts of the gal promoters increased with increasing superhelical density of the template, we were interested in the changes that might occur in the amount of the abortive transcripts from these promoters with increasing supercoiling. Thus, the in vitro transcription reactions of Figure 4 were analyzed on 25% acrylamide gels where abortive transcripts become visible (Figure 6). Interestingly, almost all of the major abortive transcripts observed decreased with increasing superhelical density. We identified some of the aborted RNA molecules in our system to be of gal origin by comparing the identities, the sizes, and the appearance and disappearance of the abortive transcripts in the absence and presence of CRP (47; unpublished observations). Overall, the abortive transcripts from galP1 gradually decreased by 5-fold and those from galP2 decreased 4-fold when the σ changed progressively from 0 to -0.093.

DNA Superhelicity and Point of Transcription Termination. As mentioned, the rP promoter made two RNA molecules with the same 5' end but differing by one UMP residue at the 3' end. The ratio of the amounts of the two RNA species depended upon the UTP concentrations in the transcription reactions. At higher UTP concentrations, RNA with an extra UMP at the 3' end was the predominant one; the reverse was true at lower UTP concentrations (data not shown). This is consistent with the observation of McDowell et al. (41) at several other Rho-independent terminators. At 0.2 mM UTP, the synthesis of both RNA species from rP was observed (Figure 4). The longer one was made in the highest amount at $\sigma = -0.093$, whereas the synthesis of the shorter RNA peaked at $\sigma = -0.063$, alluding to the fact that the amount of DNA supercoiling may influence transcription termination points.

DISCUSSION

Intrinsic activities of many promoters are known to be enhanced, inhibited, or unaffected by negative supercoiling

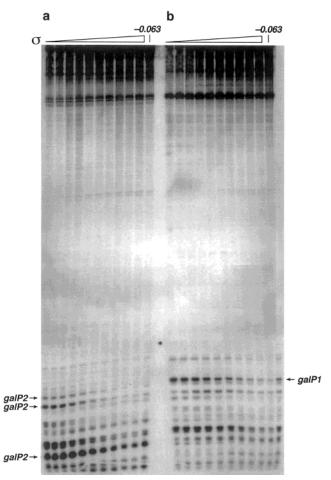


FIGURE 6: Electrophoretic separation of aborted RNA made from the gal promoters in the different topoisomers in a 25% sequencing gel. The increase of superhelical density (σ) is same as in Figure 2. The identified abortive RNA species are indicated by arrows in the margins. Transcription in the (a) absence and (b) presence of CRP.

of DNA in vivo (1-3). The in vitro results of transcription from a set of promoters under the same condition fit with this general concept. The results demonstrated the influence of changing negative supercoiling of DNA mainly on several aspects of transcription initiation. Negative superhelicities showed a differential effect on transcription from several promoters but did not have any effect on another present on the same DNA template molecule. The effects of changing DNA supercoiling on the intrinsic and regulated transcription initiation, as well as on the abortive transcription, and the observed difference in the optima of supercoiling densities in these processes depended on the nature of the promoter. These results, summarized below, suggest that the DNA sequence difference in the promoters is the primary determinant of the observed differential effects of DNA superhelicity on transcription from these promoters.

pP. The transcription of the λ promoter, whose physiological significance is unknown and whose in vitro strength was very high, was unaffected by DNA supercoiling. These suggest that the DNA sequence in this promoter has been optimized so as not to depend on negative supercoiling.

bP. The intrinsic strength of the bacterial promoter located at the host attachment site for prophage λ insertion was very weak and activated considerably (10-fold) by DNA super-

coiling. The transcription initiation step at which DNA superhelicity affects *bP* remains to be investigated.

rP. The rP promoter is already known to be stimulated by DNA supercoiling at the level of isomerization (50). However, RNA made from this promoter terminated at two different points, and the effect of changing DNA supercoiling was different on the two RNA species. Thus, supercoiling may also affect the precise end point of the terminator of rP. It is not surprising since termination is a process in which there is a sequence-dependent interplay between RNA polymerase release, DNA unwinding, and DNA collapsing.

lacP. CRP stimulated the intrinsic transcription at the lacP 5-fold on relaxed DNA, whereas DNA supercoiling alone (at the maximal density) increased transcription 2.9-fold (Table 1). The CRP stimulation on the supercoiled DNA was synergistic (164-fold). The very low level of intrinsic transcription of the paradigm lacP promoter was attributed to defects both in RNA polymerase binding and in isomerization (12). CRP helps the enzyme occupancy by a contact between CRP and α CTD of RNA polymerase (12, 51). While DNA supercoiling may be stimulating RNA polymerase binding, the highly synergistic stimulation of *lac* transcription on supercoiled DNA in the presence of CRP suggests that supercoiling was highly synergistic; supercoiling is likely affecting one or more steps beyond binding, isomerization and/or promoter clearance. This proposal is currently being studied.

galP1 and galP2. The intrinsic transcription of both galP1 and galP2 is of moderate strength. Since supercoiling helped intrinsic transcription of galP1 2.2-fold at the highest superhelical density, the observed CRP stimulation of 12fold with relaxed DNA and 19-fold with the optimally supercoiled DNA suggests a cooperative effect of the two influences (Table 1). Note that CRP stimulates the galP1 transcription efficiently at $\sigma = 0$ with about 50% more enhancement at the highest density. The cooperativity may indicate that the two stimulatory effects are independent. galP1 is weak both in RNA polymerase binding and in isomerization (52), and different domains of CRP improve the two initiation steps by contacting with the aCTD and αNTD of RNA polymerase, respectively (53). The exact step at which DNA supercoiling affects transcription at galP1 needs to be determined.

The intrinsic transcription of the galP2 promoter was previously shown to be enhanced by DNA supercoiling (48, 49). The current observations confirmed that and further showed that supercoiling-mediated optimal activation occurred at the density of $\sigma=-0.051$, which is slightly lower than the physiological density. GalR stimulated galP2 only when DNA is significantly supercoiled with the optimum switching to $\sigma=-0.062$ (Figure 5c, Table 1). Since GalR acts by stimulating the step of isomerization by making a contact with α CTD (54), supercoiling is likely helping DNA unwinding by facilitating a specific angular orientation between DNA-bound GalR and α CTD. We are further investigating the biochemical mechanisms by which GalR acts.

Since the repression of *galP1* and *galP2* by GalR and CRP, respectively, is independent of the superhelical state of DNA, the two repression activities must be occurring at steps not involving DNA unwinding. We discussed above that RNA polymerase binding at these two promoters does not need

supercoiling whereas isomerization is enhanced by it. This suggests that the repression effect is at a level between binding and isomerization. Consistently, GalR-mediated repression of *galP1* transcription indeed occurs at such an intermediate step (54). The level at which CRP acts to repress *galP2* is unknown.

DNA Looping. In the presence of HU, GalR dimers bound on O_E and O_I bring the two operators together to form a DNA loop (35, 48). HU binds to a DNA sequence centered at +6.5. Given that a contact between two DNA-bound GalR molecules for DNA looping at the *gal* promoters is weak (55), a stable contact between them needs both DNA bending and untwisting of the segment between the two operators (56, 57). The bending requirement is met by binding of the DNA-bending protein HU (55, 58). Structural simulations predicted that the untwisting part should be facilitated by DNA supercoiling (56). Our supercoiling titration results confirm that prediction and further show that the loop is most stable when $\sigma = -0.062$, the physiological density.

Promoter Clearance. One revealing aspect of this study is the effect of DNA supercoiling on abortive RNA synthesis. The decrease in the synthesis of the abortive transcripts with increasing negative supercoiling was previously unknown. The synthesis of the abortive transcripts is known to be made by the open complexes, which do not clear the promoter. Both galP1 and galP2 made significant amounts of aborted RNA molecules at $\sigma = 0$, which progressively decreased with increasing superhelicity, suggesting that the promoter clearance step is limiting at these two promoters, and superhelicity of DNA overcomes the deficiency. Note that the full-length RNA synthesis reached an optimum at $\sigma =$ -0.051, whereas the aborted RNA synthesis in galP1 continued unabated at higher supercoiling density; very high supercoiling density may be inhibitory to the clearance step in galP1. Two models were proposed to account for the generation of the abortive RNA when observed in a promoter in vitro (59-61). (i) All of the RNA polymerase-promoter binary complexes go through the stage of abortive transcription before committing to clearance in such a promoter. If this model is correct, our results suggest that a lack of proper sequence-dependent DNA unwinding blocks promoter clearance, and negative supercoiling helps RNA polymerase to overcome the block. (ii) Only a fraction of the population of RNA polymerase-promoter binary complexes (moribund complexes) synthesizes abortive RNA molecules exclusively, the rest making full-length RNA (59). In this model, DNA supercoiling shifts the equilibrium of the binary complex population toward those that make productive RNA. In either case, how DNA supercoiling helps promoter clearance at the structural level would be an interesting question. Indeed, we note that there are specific promoters in which DNA supercoiling reduces promoter clearance (21).

In conclusion, our analysis of the effect of changing amounts of DNA superhelicity on transcription using a set of promoters, in the absence or presence of regulatory proteins, confirms that DNA supercoiling brings about another parameter to the steps of transcriptional regulation. Because of the occurrence of local changes in chromosomal supercoiling in vivo in different conditions (62), DNA supercoiling could delicately control expression of relevant genes located in those regions for physiological needs.

ACKNOWLEDGMENT

We thank Jeongki Cho, Bora Kim, and the students in Biology, Chungnam National University, for data analysis.

REFERENCES

- Gellert, M. (1981) DNA topoisomerases, Annu. Rev. Biochem. 50, 879-910.
- Pruss, G. J., and Drlica, K. (1989) DNA supercoiling and transcription, Cell 56, 521–523.
- Wang, J. C., and Lynch, A. S. (1996) Effects of DNA supercoiling on gene expression, in *Regulation of Gene Expression in Escherichia coli* (Lin, E. C. C., and Lynch, A. S., Eds.) pp 127–147, R. G. Landes Co., Austin, TX.
- Hayashi, Y., and Hayashi, M. (1971) Template activities of the φX-174 replicative allomorphic deoxyribonucleic acids, *Biochemistry* 10, 4212–4218.
- Botchan, P., Wang, J. C., and Echols, H. (1973) Effect of circularity and superhelicity on transcription from bacteriophage λ DNA, Proc. Natl. Acad. Sci. U.S.A. 70, 3077–3081.
- Richardson, J. P. (1974) Effects of supercoiling on transcription from bacteriophage PM2 deoxyribonucleic acid, *Biochemistry 13*, 3164–3169.
- Richardson, J. P. (1975) Initiation of transcription by Escherichia coli RNA polymerase from supercoiled and nonsupercoiled bacteriophage PM2 DNA, J. Mol. Biol. 91, 477–487.
- 8. Wang, J. C. (1974) Interactions between twisted DNAs and enzymes: the effects of superhelical turns, *J. Mol. Biol.* 87, 797—816
- Botchan, P. (1976) An electron microscopic comparison of transcription on linear and superhelical DNA, *J. Mol. Biol.* 105, 161–176.
- Wang, J. C., Barkley, M. D., and Bourgeois, S. (1974) Measurements of unwinding of lac operator by repressor, *Nature* 251, 247–249.
- Malan, T. P., Kolb, A., Buch, H., and Mc Clure, W. R. (1984) Mechanism of CRP-cAMP activation of *lac* operon transcription initiation activation of the P1 promoter, *J. Mol. Biol.* 180, 881– 909.
- McClure, W. R. (1985) Mechanism and control of transcription initiation in prokaryotes, *Annu. Rev. Biochem.* 54, 171–204.
- Hsieh, T.-S., and Wang, J. C. (1978) Physicochemical studies on interactions between DNA and RNA polymerase. Ultraviolet absorption measurements, *Nucleic Acids Res.* 5, 3337–3345.
- 14. Melnikova, A., Beakealashvilli, R., and Mirzabekov, A. D. (1978) A study of unwinding of DNA and shielding of the DNA grooves by RNA polymerase by using methylation with dimethyl sulfate, *Eur. J. Biochem.* 84, 301–109.
- Siebenlist, U. (1979) RNA polymerase unwinds an 11-base pair segment of a phage T7 promoter, *Nature* 279, 651-652.
- Sasse-Dwight, S., and Gralla, J. D. (1989) KMnO₄ as a probe for *lac* promoter DNA melting and mechanism in vivo, *J. Biol. Chem.* 264, 8074

 –8081.
- Davidson, N. (1972) Effect of DNA length on the free energy of binding of an unwinding ligand to a superhelical DNA, *J. Mol. Biol.* 66, 307–309.
- Hsieh, T.-S., and Wang, J. C. (1975) Thermodynamic properties of superhelical DNAs, *Biochemistry* 14, 527-535.
- Borowiec, J. A., and Gralla, J. D. (1985) Supercoiling response of the *lac* p^s promoter in vitro, *J. Mol. Biol.* 184, 587–598.
- Giladi, H., Koby, S., Gottesman, M. E., and Oppenheim, A. B. (1992) Supercoiling, integration host factor, and a dual promoter system, participate in the control of the bacteriophage λ pL promoter, *J. Mol. Biol.* 224, 937–948.
- Menzel, R., and Gellert, M. (1987) Modulation of transcription by DNA supercoiling: a deletion analysis of the *Escherichia coli* gyrA and gyrB promoters, *Proc. Natl. Acad. Sci. U.S.A.* 84, 4185– 4189
- Menzel, R., and Gellert, M. (1987) Fusions of *Escherichia coli* gyrA and gyrB control regions to the glactokinase gene are inducible by coumermycin treatment, *J. Bacteriol.* 169, 1272–1278.
- 23. Krohn, M., Pardon, B., and Wagner, R. (1992) Effects of template topology on RNA polymerase pausing during in vitro transcription of the *Escherichia coli* rmB leader region, *Mol. Microbiol.* 6, 581–550.

- Carty, M., and Menzel, R. (1989) The unexpected antitermination of gyrA-directed transcripts is enhanced by DNA relaxation, Proc. Natl. Acad. Sci. U.S.A. 86, 8882

 –8886.
- Liu, L. F., and Wang, J. C. (1987) Supercoiling of the DNA template during transcription, *Proc. Natl. Acad. Sci. U.S.A.* 84, 7024–7027.
- 26. Dröge, P. (1993) Protein tracking-induced supercoiling of DNA: a tool to regulate DNA transactions in vivo?, *BioEssays 16*, 91–99.
- Roth, J. R., Anton, D. N., and Hartman, P. E. (1966) Histidine regulatory mutants in *S. typhimurium*: I. Isolation and general properties, *J. Mol. Biol.* 22, 305–323.
- Šternglanz, R., DiNardo, S., Voelkel, K. A., Nishimura, Y., Hirota, Y., Becherer, K., Zumstein, L., and Wang, J. C. (1981) Mutations in the gene coding for *Escherichia coli* DNA topoisomerase I affect transcription and transposition, *Proc. Natl. Acad. Sci. U.S.A.* 78, 2747–2751.
- Menzel, R., and Gellert, M. (1983) Regulation of the genes for E. coli DNA gyrase: homeostatic control of DNA supercoiling, Cell 34, 105–113.
- Rudd, K. E., and Menzel, R. (1987) his operons of Escherichia coli and Salmonella typhimurium are regulated by DNA supercoiling, Proc. Natl. Acad. Sci. U.S.A. 84, 517–521.
- 31. Toone, M. W., Rudd, K. E., and Friessen, J. D. (1992) Mutations causing aminotriazole resistance and temperature sensitivity reside in gyrB, which encodes the β subunit of DNA gyrase, *J. Bacteriol.* 174, 5479–5481.
- Jovanovich, S. B., and Lebowitz, J. (1987) Estimation of the effect of coumermycin A, on *Salmonella typhimurium* promoters by using random operon fusions, *J. Bacteriol.* 169, 4431–4435.
- 33. Lewis, D. E. A. (2003) Identification of promoters of *Escherichia coli* and phage in the transcription section plasmid pSA850, in *Methods in Enzymology* (Adhya, S., and Garges, S., Eds.) Vol. 370, Elsevier Press, Holland (in press).
- 34. Majumdar, A., Rudikoff, S., and Adhya, S. (1987) Purification and properties of Gal repressor: *pL-galR* fusion in pKC31 plasmid vector, *J. Biol. Chem.* 262, 2326–2331.
- Aki, T., and Adhya, S. (1997) Repressor induced site-specific binding of HU for transcriptional regulation, *EMBO J.* 16, 3666– 3674.
- Keller, W. (1975) Determination of the number of superhelical turns in simian virus 40 DNA by gel electrophoresis, *Proc. Natl. Acad. Sci. U.S.A.* 72, 4876–4880.
- 37. Shure, M., Pulleyblank, D. E., and Vinograd, J. (1977) The problems of eukaryotic and prokaryotic DNA packaging and in vivo conformation posed by super helical density heterogeneity, *Nucleic Acids Res.* 4, 1183–1205.
- Singleton, C. K., and Wells, R. D. (1982) The facile generation of cavalently closed, circular DNAs with defined negative super helical densities, *Anal. Biochem.* 122, 253–257.
- Rhodes, D., and Klug, A. (1980) Helical periodicity of DNA determined by enzyme digestion, *Nature* 286, 573–578.
- Musso, R. E., Di Lauro, R., Adhya, S., and de Crombrugghe, B. (1977) Dual control for transcription of the galactose operon by cyclic AMP and its receptor protein at two interspersed promoters, *Cell* 12, 847–854.
- McDowell, J. C., Roberts, J. W., Jin, D. J., and Gross, C. (1994) Determination of intrinsic transcription termination efficiency by RNA polymerase elongation rate, *Science* 266, 822–825.
- 42. Reznikoff, W. S., and Abelson, J. N. (1978) The *lac* promoter, in *The Operon* (Miller, J. H., and Reznikoff, W. S., Eds.) pp 221—243, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY.
- 43. Adhya, S., and Miller, W. (1979) Modulation of the two promoters of the gal operon of *Escherichia coli*, *Nature* 279, 492–494.
- Aiba, H., Adhya, S., and de Crombrugghe, B. (1981) Evidence for two functional *gal* promoters in intact *Escherichia coli* cells, *J. Biol. Chem.* 256, 11905–11910.
- Busby, S., Adhya, S., and de Crombrugghe, B. (1982) Mutations in the *Escherichia coli* operon that define two promoters and the binding site of the cyclic AMP receptor protein, *J. Mol. Biol.* 154, 211–227.
- 46. Choy, H. E., and Adhya, S. (1992) Control of *gal* transcription through DNA looping: inhibition of the initial transcribing complex, *Proc. Natl. Acad. Sci. U.S.A.* 89, 11264–11268.
- 47. Choy, H. E., and Adhya, S. (1993) RNA polymerase idling and clearance in *gal* promoters: use of super coiled minicircle DNA template made in vivo, *Proc. Natl. Acad. Sci. U.S.A.* 90, 472–476.

- 48. Aki, T., Choy, H. E., and Adhya, S. (1996) Histone-like protein HU as a specific transcriptional regulator: co-factor role in repression of *gal* transcription by Gal repressor, *Genes Cells 1*, 179–188
- 49. Lewis, D. E. A., Geanacopoulos, M., and Adhya, S. (1999) Role of DNA-supercoiling and HU on repression of *gal* promoters by DNA-looping in vivo, *Mol. Microbiol.* 31, 451–461.
- Wood, D. C., and Lebowitz, J. (1984) Effect of supercoiling on the abortive initiation kinetics of the RNA I promoter of colE1 plasmid DNA, *J. Biol. Chem.* 259, 11184–11187.
- Igarashi, K., and Ishihama, A. (1991) Bipartite functional map of the E. coli RNA polymerase alpha subunit: involvement of the C-terminal region in transcription activation by camp-CRP, *Cell* 65, 1015–1022.
- 52. Herbert, M., Kolb, A., and Buc, H. (1986) Overlapping promoters and their control in *Escherichia coli*: the *gal* case, *Proc. Natl. Acad. Sci. U.S.A.* 83, 2807–2811.
- Niu, W., Kim, Y., Heyduk, T. G., and Ebright, R. H. (1996) Transcription activation at class II CAP-dependent promoters: two interactions between CAP and RNA polymerase, *Cell* 87, 1123– 1134.
- 54. Choy, H. E., Park, S. W., Aki, T., Parrack, P., Fujita, N., Ishihama, A., and Adhya, S. (1995) Repression and activation of transcription by Gal and Lac repressors: involvement of alpha subunit of RNA polymerase, *EMBO J. 14*, 4523–4529.
- 55. Semsey, S., Geanacopoulos, M., Lewis, D. E. A., and Adhya, S. (2002) Operator-bound GalR dimers close DNA loops by direct

- interaction: tetramerization and inducer binding, *EMBO J. 21*, 4349–4356.
- Geanacopoulus, M., Vasmatzis, G., Zhurkin, V. B., and Adhya, S. (2001) Gal repressosome contains an antiparallel DNA loop, *Nat. Struct. Biol.* 8, 432–436.
- 57. Lewis, D. E. A., and Adhya, S. (2002) In vitro repression of the gal promoters by GalR and HU depends on the proper helical phasing of the two operators, J. Biol. Chem. 277, 2498–2504.
- Kobryn, K., Lavoie, B. D., and Chaconas, G. (1999) Supercoilingdependent site-specific binding of HU to naked Mu DNA, *J. Mol. Biol.* 289, 777

 –784.
- Kubori, T., and Shimamoto, N. (1996) A branched pathway in the early stage of transcription by *Escherichia coli* RNA polymerase, *J. Mol. Biol.* 256, 449–457.
- Rostoks, N., Park, S.-C., and Choy, H. E. (2000) Reiterative transcription initiation from galP2 promoter of *Escherichia coli*, *Biochim. Biophys. Acta* 1491, 185–195.
- 61. Vo, N. V., Hsu, L. M., Kane, C. M., and Chamberlin, M. J. (2003) In vitro studies of transcription initiation by *Escherichia coli* RNA polymerase. 2. Formation and characterization of two distinct classes of initial transcribing complexes, *Biochemistry* 42, 3787— 3797
- Kusano, S., Ding, Q., Fujita, N., and Ishihama, A. (1996) Promoter selectivity of *Escherichia coli* RNA polymerase EG70 an EG38 holoenzymes, *J. Biol. Chem.* 271, 1998–2004.

BI030110T